In the United States Patent and Trademark Office Serial No.: 09/871,427 Docket No.: 17209-615

Filed: 05/31/2001 Conf.No.: 8901 Art Unit: 3691 Applicant(s): Andrew J. R. Smith Examiner: Hamilton, Lalita M. For: Method and system for processing raw financial data streams to

Method and system for processing raw financial data streams to produce and distribute structured and validated product offering objects

objects

Mailstop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT/RESPONSE ACCOMPANYING RCE, 37 CFR §1.114

Madam:

Further to the Notice of Allowance dated February 5, 2010, and in view of the following amendments and/or remarks, and the concurrently filed Request for Continued Examination, Andrew J. R. Smith and/or Attorney(s) for applicant(s) (hereinafter "Applicant") re-opening of prosecution on the merits, request entry of the following amendments and reconsideration of the above-referenced application.

Amendments to the Claims are reflected in the listing of claims that begin on page

2.

Remarks begin on page 13 of this paper.